

Tel: +44 (0) Tel: +44 (0) 7894 947187

contact@thepolicypractice.com www.thepolicypractice.com

CURRICULUM VITAE

NAME SARAH VAUGHAN

DATE OF BIRTH 15 July 1963

NATIONALITY British

LANGUAGES English: mother tongue

Amharic, French: good/reasonable working knowledge

Tigrigna, Greek, Italian: very basic

COUNTRY EXPERIENCE Ethiopia, Somaliland, Sudan, Eritrea, Kenya

EXPERTISE

Consultant social scientist and policy analyst with over 30-year experience of analytical, advisory and evaluative work for regional and international governments and development partners, and intergovernmental and non-governmental agencies in Ethiopia and the Horn of Africa re:

- factors in the political economy of development: power and policy; state and natural resources; economic rents or networks; land, pastoralism, and politicized ethnicity;
- conflict and security sector policy and capacity; strategies of conflict 'transformation';
- nationalist, religious and transnational influences on political competition/insurgency;
- federal multilevel governance; economic and service roles of 'developmental states';
- interaction of 'new' institutions of democratization with wider socio-political cultures;
- party-state-society relations: post-'liberation'; post-Marxist; 'transitional justice';
- the theorisation of power; interaction and interests in political status group formation;
- research methods, policy and ethics in contested or polarized political environments.

Adaptable skills in research design, programme management, policymaker advice and liaison

QUALIFICATIONS

2003	PhD (Ethnicity and Power in Ethiopia) Edinburgh University
1994	MSc (African Studies with distinction), Edinburgh University
1986	BA (Literae Humaniores exhibition scholarship), St Anne's College, Oxford University

EMPLOYMENT

- Principal of The Policy Practice Ltd (2018-present): studies of the political economy of the Somaliland-Ethiopia Berbera Development Corridor (2016-19); Team Leader, Horn Research Facility, UK Foreign Commonwealth & Development Office, FCDO (2020-21).
- Freelance research consultant, undertaking a wide range of consulting and freelance work and research on the political economy of Ethiopia and the Horn of Africa (see below)
- Honorary Fellow, University of Edinburgh (2001-2021) Politics & International Relations Subject Area, School of Social & Political Science, University of Edinburgh; Advisory Board Member, Academy of Government, University of Edinburgh (2009-11); MSc Programme

Director Environment & Development (2009/10); *Manager* Edinburgh International Development Centre (2008), School of Geosciences, University of Edinburgh; *Specialist Trainer* (2010) consultant to **Edinburgh Research and Innovation** for a Washington DC-based client.

- Advisor to the Government of the Federal Democratic Republic of Ethiopia, Ministry of Federal Affairs, Addis Ababa (2004-2008), heading a project of Research & Capacity Building in Federalism & Conflict Analysis. Design, development and oversight of research protocols, database and project office; liaison with Federal and State Government bodies; conduct and documentation of empirical research; training and capacity building; refinement and consensus-building for policy recommendation. Seconded by International Organisation for Migration.
- Associate Director for Academic Affairs, graduate Institute of Federal Studies, Addis Ababa
 University (2007-2008); affiliated (1998–2000) Department of Political Science & International
 Relations & Institute of Ethiopian Studies; visiting researcher (1998-9) Organisation for Social
 Science Research in Eastern & Southern Africa; UK representative International Organising
 Committee of the International Conference of Ethiopian Studies (2015-2022).
- Advisor to the Office of the Chief Special Prosecutor, Transitional Government of Ethiopia, (1994), seconded from the International Commission of Jurists, Danish Section
- (1988-1993) International NGO consortium (employed by Novib, CAFOD, War on Want) joined then led the secretariat of a consortium of international NGOs coordinating support to relief and rehabilitation programmes in Ethiopia.

CONSULTANCY AND FREELANCE WORK

Team Leader, **Particip GmbH,** regional analysis and accompaniment for the **European Union, External Action Service** (2021-2022).

Analyst, Scoping Study on Horn engagement for Children's Investment Fund Foundation (2021).

Analyst, Rift Valley Institute, remote rapid analysis for humanitarian partners in Ethiopia (2020).

Reviewer, **McKinsey**, 'Development of Portfolio and Organisational Strategy for Metals and Engineering Corporation – the future Ethiopia Engineering Group, Political Economy Analysis' (2020).

Team Leader, **World Bank**, 'Rapid Assessment of Wereda Institutions for Allocation and Planning, for Human Development and Service Delivery,' new empirical work on local government capabilities in four States, exploring issues influencing Ethiopia's low Human Capacity Index (2019-2020).

Core team member - policy & research analysis & design - QS, **Mokoro Ltd**, 'Ethiopia WIDE', longitudinal multi-sectoral research programme tracking changes in 20 communities at micro level over two decades, <u>www.ethiopiawide.net</u>, (2013-2019).

Research Team Lead, University of Manchester, Global Development Challenge Fund, Study of the socio-economic opportunities and impact of the Grand Ethiopian Renaissance Dam (2018–2019).

Regional research lead and national advisory group member, Danish Refugee Council and Overseas Development Institute, National and Regional Studies of refugee and host community relations in Ethiopia for UNICEF "New Ways of Working" (2018).

Expert, **Rift Valley Institute**, Political Economy Training Course for DFID Ethiopia, reviewing "Risks and opportunities" Addis Ababa (March 2018); "Privatisation in Ethiopia" (October 2018).

Reviewer, Oxford University, Young Lives, Ethiopia Country Closing Report (February 2018).

Expert Advisor, Netherlands Institute for Multi-party Democracy, ad hoc advisor on the development of a national programme of capacity building for Ethiopia's Federal and State parliaments and political parties (October 2017, October 2018, December 2019).

Team Leader, CARE International, Context Analysis for Ethiopia Country Presence Review, identified social, economic and political national trends, with a particular focus on gender (2017).

Lead Analyst, **HMG**, **Foreign and Commonwealth Office (FCO)**, Democratic Governance Fund, political economy analysis to inform partnerships in Ethiopia, (2009-12; 2014-15; 2016-2017).

Political Economy Advisor, Development Associates International (DAI) Europe, UK DFID-funded project in Ethiopia on Tax, Audit and Transparency (TAUT) (2015-2016).

Political Economy Advisor, **GRM International**, Evaluation of UK DFID-funded project in Ethiopia on Land Investment for Transformation (LIFT) (2015).

Lead Analyst & Research Design, **UK DFID**, for a study *Understanding the role(s)* of the public sector in Ethiopia's Economic Transformation, focusing on: Transport & Logistics, Utilities & Power, Manufacturing Industry, Finance, and Agricultural Linkages (2014-16).

Facilitator, Design & Co-ordination Advisor, **ODI**, seminar on *Political Settlement and the Developmental State* (2015), for ODI, Netherlands Development Co-operation, and the Ethiopian International Institute for Peace and Development (EIIPD); facilitator, seminar on *Inclusive Development in Africa*, co-organised by ODI, the Netherlands Development Co-operation Knowledge Platform, and OSSREA, at UNECA Addis Ababa (2014).

Lead Analyst & Research Design, **UK DFID**, study of the Political Economy of Urbanisation in Ethiopia, with a focus on Addis Ababa and surroundings (2015).

Design, Quality & Political Economy Advisor, **UK DFID**, on a study of the Context Analysis for Payment By Results Approaches in Ethiopia (2014-15)

Lead Research Consultant, **UK DFID**, Design and Preparation of a Study of political practice and local governance dynamics in Ethiopia, including case study work in Tigray, Southern Region, Oromia, Amhara and Addis Ababa (2013).

Team Leader & Author, ADE Brussels, Ethiopia: Country Level Study for the EU and Member States' Delegations to Ethiopia, and ad hoc political economy advice and analysis for the Delegate and Commission in Brussels (2013).

Senior Conflict Research & Analysis Advisor, Adam Smith International, Inception Work, Programme for Security and Justice in Ethiopia's Somali Region under UK DFID's Peace and Development Programme, Jijiga (2013).

Team Leader, **Triple Line Consulting**, Review of UNDP's Joint Donor Democratic Institutions Programme, and Scoping of Options for Future Programming in Governance, for DFID, FDRE MOFED (2012-13).

Consultant, World Bank Ethiopia Country Office, Social Impact Appraisal of the Ethiopia Public Sector Capacity Building Programme (PSCAP) 2003-2009 (2012); study Understanding Ethiopia's Transformation – the Government of Ethiopia's Vision and Strategies for Development, for the Country Partnership Strategy (2012); Literature Review, Concept Note and Research Protocol Design of Economic and Sector Work on Decentralisation & Service Delivery in Ethiopia (2011-12).

Consultant, **UK DFID,** Governance for Growth Programme Scoping Team member, preparation of a *Political Economy Analysis of Land Issues in Ethiopia* (March-April 2012).

Advisory reviewer, theidlgroup Ltd, Bristol, Political Economy Analysis for the multi-donor Ethiopia Civil Society Support Programme (CSSP), for the British Council, theidlgroup and INTRAC (2011-12).

Research Consultant, **UK DFID & HMG FCO**, Study of the *Prospects for Peace and Peace-building in Ethiopia's Somali Region*, and its regional and Diaspora implications, Addis Ababa, Ethiopian Somali Region, London & Nairobi (2011).

Research Consultant, Overseas Development Institute (ODI) Africa Power and Politics Programme (APPP), London, Business and Politics Research Stream, study of *Economic Development and Private Enterprise in Ethiopia* (2010-11).

Lead Consultant, **UK DFID**, Understanding the Landscape of Governance & Politics in Ethiopia: study of norms and cultures, rules of the game, institutions and actors in centre-periphery relations (2010).

Research consultant, **HMG FCO / British Embassy Addis Ababa**, study of the dynamics of politics and insurgency in Ethiopia's Somali Region, in the context of the wider Horn and Diaspora (2009).

Strategic Planning Consultant, InterAfrica Group, Addis Ababa, strategic plan to address the evolving political and legislative environment for this Horn of Africa regional NGO (2008-09).

Research Consultant & Co-Author (political issues), Joint Political and Economic Analysis of the Situation in Ethiopia post-Elections, for **Sida / Donor Assistance Group (**2006).

Commentator on a Study of Voice and Accountability in Ethiopia, for UK DFID (February 2006).

Consultant Author, Issues Papers for pre-election Public Policy Debates on 'Federalism & Decentralisation' and 'Human Rights & Governance' in Ethiopia, InterAfrica Group (January 2005).

Research Consultant & Author, Social Appraisal of the Ethiopian Public Service Delivery Capacity Building Programme (PSCAP), for World Bank, Ethiopia Country Office (February-August 2004).

Research Consultant & Author, Ethiopia: A Situation Analysis and Trend Assessment, for **UN High Commissioner for Refugees, Protection Information Section / Writenet**, http://www.unhcr.org/refworld/pdfid/406d299c4.pdf (November-December 2003).

Consultant, Needs Assessment and Project Design for support to FDRE MoFA re conflict analysis and institutional development, for **UK DFID** (June-August 2003).

Author, study of *Political Developments in Ethiopia, 1991-2001*, funded by **UK DFID, Sida, Royal Netherlands Embassy to Ethiopia, and Ireland Aid** (2002).

Research Consultant & Co-Author, study of Structures and Relations of Power in Ethiopia, for Sida http://www.sida.se/English/About-us/Sidas-Publications/ Article ref. SIDA2141EN (May-June 2002).

Contributor, study of power structures underpinning the deconcentration/devolution of executive, administrative and party-political activity in three of Ethiopia's National Regional States, **Novib**, for the **InterAfrica Group** (1999-2000).

Researcher & Editor, Regional Profiles of politics, institutions, governance and civil society in six of Ethiopia's Regional States and the Federal Government, for Canadian **CIDA** (1998).

Research Consultant & Author, Re-emergence & Democratisation of Civil Society in Ethiopia after 1991, for the Scottish Catholic International Aid Fund (SCIAF), Christian Aid, and the Catholic Fund for Overseas Development (CAFOD) (1995-6).

Evaluation Team Leader (from Ethiopian Relief & Rehabilitation Commission and UN World Food Programme) to review food aid programming, for Save the Children Fund UK (1995).

External evaluator of agricultural extension work in Northern Ethiopia for SCIAF (1995).

PUBLICATIONS

(2020) with Mesfin Gebremichael, 'Resettlement of Gumuz communities around Ethiopia's Blue Nile dam' *FutureDAMS Working Paper* 010, July 2020, Manchester: University of Manchester https://hummedia.manchester.ac.uk/institutes/gdi/publications/workingpapers/futuredams/futuredams-working-paper-010-vaughan.pdf

- (2018) Pankhurst, Alula, P. Bevan, C. Dom, Agazi Tiumelissan & S. Vaughan (eds) *Changing Rural Ethiopia: Community Transformations*, Los Angeles: Tsehai Publishers
- (2018) 'Ogaden Secessionism at the Heart of the Horn of Africa' in de Vries, Lotje, Mareike Schomerus & Pierre Engelbert (eds) *Secessionism in Africa*. London: Palgrave Macmillan.
- (2017) 'Innovation, "technology transfer," and positive social change: models and realities of transformation' in ed. Pankhurst, Alula, *Change & Transformation in Twenty Rural Communities in Ethiopia: selected aspects and implications for policy*. Addis Ababa: January 2017.
- (2017) 'The Ethiopian Developmental State' in Uhlig, Siegbert, et al. (eds) *A Companion Handbook to Ethiopia*. Hamburg: Harrasowitz, published in English and (2018) in German.
- (2015) 'Federalism, Revolutionary Democracy and the Developmental State, 1991-2012' in Prunier, Gerard & Eloi Ficquet (eds) *Understanding Contemporary Ethiopia: Monarchy, Revolution and the Legacy of Meles Zenawi.* London: Hurst.
- (2013) 'Revolutionary Democratic State Building: people, party and state in EPRDF's Ethiopia' in Abbink, Jon & Tobias Hagmann (eds) *Reconfiguring Ethiopia: the politics of Authoritarian Reform.* London: Routledge.
- (2013) 'Ethiopian federalism: hybridity in ambiguity?' in Loughlin, John, John Kincaid & Wilfried Swenden (eds) *Routledge Handbook of Regionalism & Federalism*. London: Routledge.
- (2013) Tim Kelsall with David Booth, Diana Cammack, Brian Cooksey, Fred Golooba-Mutebi, Mesfin Gebremichael and Sarah Vaughan, *Business, Politics & the State in Africa: Challenging the Neo-Liberal Orthodoxy*. London: Zed Books.
- (April 2012) with Irene Rafanell, 'Interaction, consensus & unpredictability in development policy 'transfer' and practice' (accepted September 2011), *Critical Policy Studies*.
- (February 2012) 'Revolutionary Democratic State Building: people, party and state in EPRDF's Ethiopia' (accepted July 2011) in Abbink, Jon & Tobias Hagmann (eds) Special Issue on Revolutionary Democracy in Ethiopia, *Journal of East African Studies*.
- (2011) 'Federalism and Development in Ethiopia's Lowland Peripheries' in Zamponi, Mario & Davide Chinigo (eds), Special Issue on Rural Development in Ethiopia, *Afriche e Orienti*, 1-2011, 6-43.
- (2011) 'Ethnic and Civic Nationalist Narratives in Ethiopia,' in Harrison, Trevor & Slobodan Drakulik (eds) *Against Orthodoxy Studies in Nationalism.* Vancouver: University of British Columbia Press. 154-182.
- (2011) with Mesfin Gebremichael 'Rethinking Business and Politics in Ethiopia: The role of EFFORT' Africa Power and Politics Research Report No.2, August 2011, available at http://www.institutions-africa.org/filestream/20110822-appp-rr02-rethinking-business-politics-in-ethiopia-by-sarah-vaughan-mesfin-gebremichael-august-2011
- (2009) 'The Role of the Office of the Special Prosecutor in the trials of former Dergue-regime officials' in Tronvoll, Kjetil, Charles Schaefer & Girmachew Aneme Alemu (eds) *The Ethiopian Red Terror Trials: Transitional Justice Challenged*. Oxford: James Currey.
- (2007) 'Fédéralisme éthnique et démocratisation en Ethiopie depuis 1991' in Prunier, Gerard (ed.) *l'Ethiopie Contemporaine*. Paris: Kathala, Addis Ababa: Centre francophone pour les études Ethiopiennes.
- (2006) 'The experience of Ethnic Federalism in Ethiopia's Southern Region', in Turton, David (ed.) *Ethnic Federalism: the Challenges for Ethiopia*. Oxford: James Currey, Athens: Ohio University Press, and Addis Ababa: Addis Ababa University Press.

(2005) 'Identité éthnique et fédéralism en Ethiopie du sud' in *Politique Africaine* Special Issue 'Ethiopie: le fédéralisme en question', **99**, October 2005.

(2004) (2005) 'Ethiopia: History' & 'Ethiopia: Economics' in *Africa South of the Sahara*. London: Europa Publications.

(2003) with Kjetil Tronvoll, *The Culture of Power in Contemporary Ethiopian Political Life*. Stockholm: SAREC, Sida Studies, **10.**

(1996) 'Ethnicity and Political Science' in de la Gorgendiere, Louise, Kenneth King, & Sarah Vaughan (eds) *Ethnicity in Africa: Roots, Meanings, & Implications. Proceedings of a Conference 24-26 May 1995.* Edinburgh: Centre of African Studies.

(1996) 'Ethnicity and the Prospects for Democratisation in Ethiopia' in *Bulletin of the Association for the Study of Ethnicity and Nationalism* **10** (Winter 1995-6) 17-29. London: LSE. Also published in de la Gorgendiere *et al.* (eds) *op.cit.*.

(1994) 'The Addis Ababa Transitional Conference of July 1991: its origins, history and significance' Occasional Paper **50**. Edinburgh: Centre of African Studies.

Last updated August 2022